



Application Form – Flexible ISA

Applicants must fully complete all sections.

If you have any queries about opening an account please call us on 01892 700834

In order to **protect your personal information** when sending back your form we recommend you use a recorded or tracked mail service.

1. Applicant Details

Title (eg. Mr/Mrs/Dr etc)

Surname

Forenames in full

Permanent residential address

Postcode

Date of birth

Town/City of birth

Country of birth

Country of residence

Country of taxation

Nationality

National Insurance Number/National Identifier

If you have more than one nationality please list on a separate sheet together with the relevant national identifier for that nationality – further details regarding national identifiers can be found on our website or call us.

Telephone (Home)

Telephone (Work)

Telephone (Mobile)

Email

If you have been living at the above address for less than 12 months please provide your previous address

Postcode

If you already have an existing share dealing account number with Jarvis, please enter it here

2. Bank Account Details

Name of Bank

Sort code – –

Account number

I confirm that this is a UK bank account held in the name of

Quarterly income facility (✓ if required)

3. Subscription Details

I apply to subscribe £

for the tax year ending 5th April and each subsequent year until further notice.

4. Employment Details

Employment Type

- Full-time employment
- Part-time employment
- Self employed
- Homemaker
- Unpaid work/ Volunteer
- Unemployed
- Retired

Occupation / Job title

Employer name

Nature of business

Date joined current employment dd/mm/yyyy

5. Investment Details

Source of wealth

- Employment
- Investment
- Savings
- Pension
- Inheritance
- Family Trust
- Business Ownership/ Sale
- Property Ownership/ Sale

Other

Source of funds

- Employment
- Investment
- Savings
- Pension
- Inheritance
- Family Trust
- Business Ownership/ Sale
- Property Ownership/ Sale

Other

Approx. anticipated individual trade size

- 0 - £5,000
- £5,001 - £25,000
- £25,001- £99,999
- £100,000+

Approx. frequency of trading

- Execution only trades: One off
- Execution only trades: 1-10
- Execution only trades: 11-25
- Execution only trades: 25-99
- Execution only trades: 100+

Initial investment amount (£)

6. Experience and qualifications

PDMR details

A person discharging managerial responsibilities (PDMR) will typically be privy to potentially price sensitive 'inside' information in relation to the company they work for and are likely to hold senior managerial roles, for example, at Director or Board level. A person closely associated (PCA) with a PDMR is a spouse, family member, business partner or another known association. If this applies to you, please enter the details below.

Investment experience

- None
- Less than 3 years
- More than 3 years

Types of instruments invested in previously

e.g. Stocks, exchange-traded funds (ETFs), mutual funds, real estate investment trusts (REITs), bonds, derivatives contracts (i.e. options, futures, and swaps), certificates of deposit (CDs), bank deposits, loans.

Previous investment frequency

- Trading (very frequently)
- More than once a month
- Less than once a month

Investment education or qualifications

Member of any professional bodies

7. ISA Declaration

I declare that:

- All subscriptions made, and to be made, belong to me
- I am 18 years of age or over
- I have not subscribed/made payments, and will not subscribe/make payments more than the overall subscription/payment limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year
- I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA, and
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Jarvis Investment Management Ltd if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties
- I agree to the ISA terms and conditions

I authorise Jarvis Investment Management Ltd:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To make on my behalf any claims to relief from tax in respect of ISA investments.

8. Terms and conditions

Please print or download the terms and conditions for your records.

- I confirm that the information is true and complete. I authorise Jarvis Investment Management Ltd (Jarvis) to make any electronic verification of my identity and to complete Anti-Money Laundering and financial crime checks in connection with this application. If Jarvis is unable to complete these checks electronically, I accept that they may contact me to request additional documents to complete the checks.
- I have read, understood, and agree to be bound by the Terms and Conditions of the service and acknowledge the risk warnings contained within them.
- I will advise Jarvis of any change in my details and circumstances relevant to the services provided to me.
- I confirm that I am not acting for, or on behalf of, a US person. I accept that should information come to the attention of Jarvis which gives reasonable cause for them to believe I am a US person, Jarvis will give me notice and close my Account.
- I confirm that I am a UK resident and that I am liable to UK tax.
- I agree that Jarvis may contact me about their financial services and products.
- I confirm that we have read, understood and agree to the Privacy Policy which explains how Jarvis collects and manages my personal data.
- I declare that this application form has been completed to the best of my knowledge and belief.

As part of the EC Money Laundering Directive we are required to verify your identity. By submitting your application to us you agree that we may undertake a search with Experian for the purposes of verifying your identity. To do so Experian may check the details you supply against any particulars on any database (public or otherwise) to which they have access. They may also use your details in the future to assist other companies for verification purposes. A record of the search will be retained.

Signed

Date

Please return the signed application form to:

Jarvis Investment Management Ltd, 78 Mount Ephraim, Tunbridge Wells, Kent TN4 8BS