

Jarvis CFD Application Form

TO OPEN A JARVIS CFD TRADING ACCOUNT PLEASE COMPLETE THIS FORM IN FULL AND RETURN IT TO JARVIS INVESTMENT MANAGEMENT PLC.

If the account is to be operated on a joint basis each person must complete and sign the form.

Instructions for Completion

Before completing the form, please make sure you have read and understood all information regarding your Jarvis CFD trading account, including the General Business Terms, the Risk Disclosure Statement, as well as any other business terms and policies governing the client relationship (which are available at jarviscfds.co.uk).

To comply with UK money laundering regulations, you are required to send the following documentation (A or B as applicable) along with the form:

A. Individual Clients

- A copy of your valid and signed passport
- Proof of residency, e.g. a copy of your national ID card, driver's license or social security card containing your registered address or a bank statement or utility bill (including; gas, water, electricity, land line phone, oil, internet and cable TV connections) issued in your name within the previous 6 months

B. Corporate Clients

- A copy of the certificate of incorporation
- Articles of association appropriately signed
- Documentation on who can sign on behalf of the corporate entity (authorised signatories)
- Copies of passports belonging to the authorised signatories to verify signatures

Part 1. Investor Details (first applicant)

Title (Mr/Mrs/Miss/Ms)

Surname

Forename(s)

Company Name
(if applicable)

Permanent residential address

Postcode

Date of birth

Telephone (Home)

Telephone (Work)

Telephone (Mobile)

Email

Investor Details (second applicant)

Title (Mr/Mrs/Miss/Ms)

Surname

Forename(s)

Initial Investment

I enclose a cheque for £ (min £1000)
(Please make cheques payable to Jarvis Investment Management plc)

I wish to pay by Debit Card (✓)



Debit Card Details

Please complete this form where you intend to settle purchase trades using a Debit Card.

Card details for processing payment

Card Type: Maestro Delta/Visa (✓)

Card No
(Centre No.)

3 digit security code

Name on card

Expiry Date / Valid Date /

Issue number (Maestro only)

Part 2. Client Classification

In accordance with the MiFID Directive, Jarvis has to segment and classify all its clients into three categories: Retail, Professional and Eligible Counterparty.

All clients will, as a point of departure, be classified as Retail Clients, in order to grant them the highest level of regulatory protection.

Part 3. Purpose and Scope of Client Relationship (Mandatory)

Purpose and scope of applying for an account with Jarvis Investment

- Capital preservation/hedging (Minimise the potential for any loss of principal)
- Growth (Increase investment value over time while accepting price fluctuations)
- Speculation (Assume the highest degree of risk for potentially higher returns)

continued overleaf

Jarvis CFD Application Form – continued

Part 4. Corporate Disclosure

Only to be completed by corporate clients

Directors and Officers

Number of board members:

Chairman/president:

Managing director/vice president:

Authorised signatories:

Part 5. Corporate Ownership

To comply with UK regulations on Anti Money Laundering, all financial institutions must verify the identity of selected private individuals connected to the corporate entity applying for an account. This may include private individuals owning more than 25% of the shares or voting rights in the corporate entity. Please list below:

- Individuals who ultimately own or control the company by direct or indirect ownership or control more than 25% of the shares or voting rights in the company, except if the shares are listed on a regulated marketplace.
- Individuals exercising control over the management of the company, in ways other than those listed above.
- Individuals who, according to the articles of association or otherwise specified, are entitled to receive 25% or more of the distributed funds or other assets of a fund or similar legal arrangement, assuming these persons are known.
- Individuals in whose main interest the fund or similar legal arrangement has been established or acts.
- Individuals who exercise control over a minimum of 25% of the distributed funds or other assets of the fund or similar legal arrangement.

Please fill in name, registered address, postcode, county and country:

1.

2.

3.

4.

Please tick the box if no individual qualifies under the bullet points above.

Part 6. Signature

I/We declare by my/our signature(s):

- To have read, understood and agreed to the General Business Terms (including the Risk Disclosure Statement) and all of its contents;
- To understand and accept that the General Business Terms and any other relevant terms and conditions (as amended from time to time) apply to my/our entire trading relationship with Jarvis.
- To have received, read and understood the product information material relating to the relevant products;
- To have received additional in-depth verbal information about the products and the inherent possibilities and risks if so requested;
- To have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the relevant products.

Furthermore I/we confirm:

- That the information provided by me/us and inserted in this form is correct;
- That I/we have supplied Jarvis Investment with correct and adequate information in the Client Application Form or as otherwise requested by Jarvis Investment;
- That the investment amount has been chosen by me/us taking my/our total financial circumstances into consideration and is by me/us considered reasonable under such circumstances;
- That I/we acknowledge that Jarvis is not able to provide advice.
- That Jarvis Investment is entitled to send newsletters or other investment material to me/us by e-mail, or similar electronic messaging services;
- I am over 18 years of age;
- I understand that CFDs carry a high level of risk to my capital;
- I understand that CFDs are a leveraged or geared product, which means I may lose more than my initial investment;
- In light of my circumstances and financial position, I confirm that CFDs are a suitable product for my investment objectives. If in doubt I will seek independent advice.

Date

Signature

On completion please return to:

The Account Manager, Jarvis Investment Management plc,
78 Mount Ephraim, Tunbridge Wells, Kent TN4 8BS.

Additional forms are available on request.